

DISASTER RECOVERY & BUSINESS CONTINUITY PLAN POLICY

VARDHAMAN CAPITAL PVT LTD herewith referred as VCPL, is the SEBI registered Stock Broker & Depository Participant. The under-mentioned policy regarding disaster recovery and business continuity is created by the Compliance Officer and approved by the Board of Directors on 01/07/2022

The company is in the business of shares and stock business and distribution of financial product. The entire business module is to function of proper human resource utilization/management and the state of art of technology/ I.T infrastructure amongst others.

Our continuity plan takes on to consideration the following action plan:

- Recovery, Resumption and maintenance of all aspects including human resources and technology.
- Business Objectives.
- Implementation.

Disaster might happens due to various means like natural calamities and human calamities...

In case of any disaster following areas need special attention for continuity of business through disaster recovery process.

FOLLOWING ACTION PLAN ARE TAKEN INTO CONSIDERATION:

1. RECOVERY, RESUMPTION AND MAINTENANCE

Share and trading business is the key activities to the organization. The company has implemented various software, system for trading with NSE/BSE. Respective vendor make constant up gradations and implementation accordingly.

SOFTWARE

- Back-office accounting data recovery.
- Trading backup data recovery.
- Other utility software data recovery.

HARDWARE

- Backup servers/ PC at remote location.
- Surplus computers with updated installation for immediate switching of work.
- Hardware and software are upgraded from time to time as required.

MONETARY LOSS

Increasing complexities in the financial business environment attracts new risks to the business model.

OTHER AREAS

- List of emergency contact numbers.
- Insurance of hardware and software.

- Manpower backup through educational programmers
- Installation of required equipment's for general alerts.
- Insurance policies.
- Maintenance of servers.
- Recovery plans.

2. BUSINESS OBJECTIVES:

Prioritization of financial services to the best of satisfaction of its clients and realization of own set targets are prime objectives of the company. In the existing dynamic market situation the company need to constantly vouch for the latest development in the market environment with regards to available models of business and the requisite I.T and human resources.

3. IMPLEMENTATION

- VARDHAMAN CAPITAL PVT LTD has develop backup site in other place.
- Necessary installation of hardware and software has been done.
- Insurance policy has been taken which cover hardware and software and other machinery.

CONCLUSION

VARDHAMAN CAPITAL PVT LTD will do continuous evaluation based on development for DR as when needed. Once the models are formulated proper implementation and management of the same is done with available I.T/ human resources in light of the relevant policies of the company formulated for the purposes.

APPROVAL AUTHORITY AND REVIEW POLICY:

This policy is approved by the Board of VARDHAMAN CAPITAL PVT LTD ,
This policy may be reviewed as and when there are any changes introduced by any statutory authority or as and when it is found necessary to change the policy due to business needs.

POLICY COMMUNICATION:

A copy of this policy shall be made available to all the relevant staff/persons such as: compliance officer / department in-charge /authorized persons.
Further, a copy of this policy has to be displayed on our website.