Vardhaman Capital Private Limited
Member: NSE, BSE & NSDL (DP)
SEBI Regd. No.: INZ000204533
CIN: U67120WB1995PTC068108

Transmission Form

Form 30 · Request for transmission of securities on the death of the account holder

To,
Vardhaman Capital Pvt. Ltd.
Wardley House, 25 Swallow Lane, 2nd Floor,
Kolkata - 700001

Date	DD / MM / YYYY

(1) I/We, the undersigned, being the

☐ Executor(s) of the Will	☐ Administrator(s) of the Estate	☐ Successor(s) to the Estate
☐ Legal heir(s)	☐ Joint holder(s)	☐ Nominee

of Mr./Mrs./Ms. _____, Mr./Mrs./Ms. _____ and Mr./Mrs./Ms. _____, the deceased, of which *Nomination / Succession Certificate / Probate of Will / Will / Letter of Administration / Court Decree as may be applicable in terms of the Indian Succession Act, 1925 or Legal Heirship Certificate (or its equivalent certificate) issued by a competent Government Authority, was duly granted / issued to me / us on the _____ day of _____ of _____, hereby request you to register me/us as the beneficial owner(s) in respect of the securities standing in the name of the said deceased under Client ID _____ DP ID _____.

(2) Details of my/our account with a Participant to which the security balances are requested to be transmitted

Name	Client ID	DP ID

(3) List of documents enclosed (for individual accounts) (tick as applicable)

A	For surviving holder(s) in a joint account:	
☐	i	Original death certificate or copy of the death certificate attested by the joint account holder(s) subject to verification with the original, or copy of the death certificate duly attested by a notary public or by a gazetted officer, or death certificate downloaded from the online portal of Government carrying digital/facsimile signature of the issuing authority;

B		For nominee(s) of the deceased:
☐	i	Original death certificate or copy of the death certificate attested by the nominee(s) subject to verification with the original, or copy of the death certificate duly attested by a notary public or by a gazetted officer, or death certificate downloaded from the online portal of Government carrying digital/facsimile signature of the issuing authority;
☐	ii	Self-attested copy of PAN card of the nominee(s);
☐	iii	In case the account of the nominee(s) is not with the Participant, copy of Client Master Report of the account of the nominee(s) duly signed and stamped / electronic copy of Client Master Report digitally signed by the Participant;
☐	iv	In case the nominee is a minor, copy of Birth Certificate;
☐	v	In case of the nominee being a minor / of unsound mind, KYC of the Guardian of the minor / of the person of unsound mind;

C		For legal heir(s) / claimant(s), where the deceased was a sole holder and there is no nomination in the account – value of holding not exceeding Rs. 15,00,000 (Rupees Fifteen Lakh) as on the date of application:
☐	i	Original death certificate or copy of the death certificate attested by the legal heir(s) / claimant(s) subject to verification with the original, or copy of the death certificate duly attested by a notary public or by a gazetted officer, or death certificate downloaded from the online portal of Government carrying digital/facsimile signature of the issuing authority;
☐	ii	Self-attested copy of PAN card of the legal heir(s) / claimant(s);
☐	iii	In case the account of the legal heir(s) / claimant(s) is not with the Participant, copy of Client Master Report of the account of the legal heir(s) / claimant(s) duly signed and stamped / electronic copy of Client Master Report digitally signed by the Participant;
☐	iv	In case the claimant / legal heir is a minor, copy of Birth Certificate;
☐	v	In case of the claimant being a minor / of unsound mind, KYC of the claimant's Guardian;
☐	vi	A notarised affidavit, in the format provided in Form 30, from all legal heir(s) / claimant(s), made on non-judicial stamp paper of appropriate value, to the effect of identification and claim of legal ownership to the securities; Provided that, in case the legal heir(s) / claimant(s) are named in any of the Succession Certificate or Probate of Will or Will or Letter of Administration as may be applicable in terms of the Indian Succession Act, 1925 (39 of 1925), or Legal Heirship Certificate or its equivalent certificate issued by a competent Government Authority, an affidavit from such legal heir(s) / claimant(s) alone shall be sufficient.
☐	vii	Copy of the Succession Certificate (in terms of the Indian Succession Act, 1925), evidencing rights of the legal heir(s) / claimant(s), attested by the legal heir(s) / claimant(s) subject to verification with the original or duly attested by a notary public or by a gazetted officer;
		OR
		Copy of Letter of Administration (in terms of the Indian Succession Act, 1925), evidencing rights of the legal heir(s) / claimant(s), attested by the legal heir(s) / claimant(s) subject to verification with the original or duly attested by a notary public or by a gazetted officer;
		OR
		Copy of Court Decree (in terms of the Indian Succession Act, 1925), evidencing rights of the legal heir(s) / claimant(s), attested by the legal heir(s) / claimant(s) subject to verification with the original or duly attested by a notary public or by a gazetted officer;
		OR
		Copy of Probate of Will* (in terms of the Indian Succession Act, 1925), evidencing rights of the legal heir(s) / claimant(s), attested by the legal heir(s) / claimant(s) subject to verification with the original or duly attested by a notary public or by a gazetted officer;

		* Probate of Will shall be insisted on only if applicable in terms of the Indian Succession Act, 1925.
		OR
		Copy of Will** (in terms of the Indian Succession Act, 1925), evidencing rights of the legal heir(s) / claimant(s), attested by the legal heir(s) / claimant(s) subject to verification with the original or duly attested by a notary public or by a gazetted officer, shall be accompanied with a notarised Indemnity Bond from the legal heir(s) / claimant(s) to whom the securities are transmitted, made on non-judicial stamp paper of appropriate value in the format specified in Form 30;
		** Will duly executed in terms of the Indian Succession Act, 1925.
		OR
		Copy of Legal Heirship Certificate (or its equivalent) issued by a competent Government Authority, duly attested by a notary public or by a gazetted officer or attested by the legal heir(s) / claimant(s) subject to verification with the original, and accompanied with (i) a notarised indemnity bond from the legal heir(s) / claimant(s) to whom the securities are transmitted, made on non-judicial stamp paper of appropriate value in the format specified in Form 30; and (ii) no objection from all the non-claimants stating that they have relinquished their rights to the claim for transmission of securities, duly attested by a notary public or by a gazetted officer in the format specified in Form 30;
☐	**a**	Indemnity Bond (format enclosed);
☐	**b**	No Objection Certificate(s) from all the legal heir(s) / non-claimants, clearly relinquishing their rights to the claim for transmission of securities (format enclosed);
		OR
		Copy of Family Settlement Deed duly executed by all legal heir(s), duly attested by a notary public or by a gazetted officer [as an alternative to No Objection Certificate(s)].

D	**For legal heir(s) / claimant(s), where the deceased was a sole holder and no nomination is registered in the account – value of holding exceeds Rs. 15,00,000 (Rupees Fifteen Lakh) as on the date of application:**	
☐	**i**	Original death certificate or copy of the death certificate attested by the legal heir(s) / claimant(s) subject to verification with the original, or copy of the death certificate duly attested by a notary public or by a gazetted officer, or death certificate downloaded from the online portal of Government carrying digital/facsimile signature of the issuing authority;
☐	**ii**	Self-attested copy of PAN of the legal heir(s) / claimant(s);
☐	**iii**	In case the account of the legal heir(s) / claimant(s) is not with the Participant, copy of Client Master Report of the account of the legal heir(s) / claimant(s) duly signed and stamped / electronic copy of Client Master Report digitally signed by the Participant;
☐	**iv**	Copy of the Succession Certificate (in terms of the Indian Succession Act, 1925), evidencing rights of the legal heir(s) / claimant(s), attested by the legal heir(s) / claimant(s) subject to verification with the original or duly attested by a notary public or by a gazetted officer;
		OR
		Copy of Letter of Administration (in terms of the Indian Succession Act, 1925), evidencing rights of the legal heir(s) / claimant(s), attested by the legal heir(s) / claimant(s) subject to verification with the original or duly attested by a notary public or by a gazetted officer;
		OR
		Copy of Court Decree (in terms of the Indian Succession Act, 1925), evidencing rights of the legal heir(s) / claimant(s), attested by the legal heir(s) / claimant(s) subject to verification with the original or duly attested by a notary public or by a gazetted officer;
		OR

		Copy of Probate of Will* (in terms of the Indian Succession Act, 1925), evidencing rights of the legal heir(s) / claimant(s), attested by the legal heir(s) / claimant(s) subject to verification with the original or duly attested by a notary public or by a gazetted officer;
		* Probate of Will shall be insisted on only if applicable in terms of the Indian Succession Act, 1925.
		OR
		Copy of Will** (in terms of the Indian Succession Act, 1925), evidencing rights of the legal heir(s) / claimant(s), attested by the legal heir(s) / claimant(s) subject to verification with the original or duly attested by a notary public or by a gazetted officer, shall be accompanied with a notarised Indemnity Bond from the legal heir(s) / claimant(s) to whom the securities are transmitted, made on non-judicial stamp paper of appropriate value in the format specified in Form 30;
		** Will duly executed in terms of the Indian Succession Act, 1925.
		OR
		Copy of Legal Heirship Certificate (or its equivalent) issued by a competent Government Authority, duly attested by a notary public or by a gazetted officer or attested by the legal heir(s) / claimant(s) subject to verification with the original, and accompanied with (i) a notarised indemnity bond from the legal heir(s) / claimant(s) to whom the securities are transmitted, made on non-judicial stamp paper of appropriate value in the format specified in Form 30; and (ii) no objection from all the non-claimants stating that they have relinquished their rights to the claim for transmission of securities, duly attested by a notary public or by a gazetted officer in the format specified in Form 30;
☐	**v**	In case the claimant / legal heir is a minor, copy of Birth Certificate;
☐	**vi**	In case of the claimant being a minor / of unsound mind, KYC of the Guardian of the minor / of the person of unsound mind;
☐	**vii**	A notarised affidavit, in the format provided in Form 30, from all legal heir(s) / claimant(s), made on non-judicial stamp paper of appropriate value, to the effect of identification and claim of legal ownership to the securities; Provided that, in case the legal heir(s) / claimant(s) are named in any of the Succession Certificate or Probate of Will or Will or Letter of Administration as may be applicable in terms of the Indian Succession Act, 1925 (39 of 1925), or Legal Heirship Certificate or its equivalent certificate issued by a competent Government Authority, an affidavit from such legal heir(s) / claimant(s) alone shall be sufficient.

(4) Declaration

(Applicable only for legal heir(s) / claimant(s) where the deceased was a sole holder and there is no nomination in the account – value of holding exceeding / not exceeding Rs. 15,00,000 (Rupees Fifteen Lakh) as on the date of application)

We declare that the below-mentioned person(s) are the only legal heir(s) / claimant(s) of the deceased and there are no other legal heir(s) / claimant(s). Of these, as specified below, some/all of them are legal heir(s) / non-claimant(s), and some of them have given a No Objection Certificate relinquishing their rights on the securities held in the aforesaid Beneficial Owner account in favour of other legal heir(s) / claimant(s). Details are given below:

Sr. No.	Name of the legal heir(s)	Specify whether the legal heir / claimant has given a No Objection Certificate
1		
2		
3		
4		

(5) Signatures

Sr. No.	Name of claimant	Signature of claimant
1		
2		
3		

Notes:

1. This request form should be signed by the surviving joint holder(s) / legal heir(s) / claimant(s) / nominee, as the case may be.
2. * Strike off whichever is not applicable.